

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Peter B. Lindgren

Serial No. 10/682,501

Filed: October 9, 2003 Group 3643

For:

UNDERWATER LIGHTED FISHING LURE

#### INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Mail Stop IDS Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please find enclosed for filing:

- X Information Disclosure Statement with certificate of mailing (4 pages)
- <u>X</u> Form PTO-1449 (4 pages)
- X Copies of listed information items accompanying this Statement (7 references)

This Transmittal Letter is submitted in duplicate.

Respectfully submitted,

By Robert C. Kain, Jr.

Reg. No. 30,648

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File No. 6744-7-cip-2

#### Certificate of Mailing

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to Mail Stop IDS, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 29, 2004.

Robert C. Kain, Jr.

Reg. No. 30,648



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## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop IDS Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

As a means of complying with the duty of disclosure set forth in 37 C.F.R. §1.56, applicant, through the undersigned attorney, files this Information Disclosure Statement pursuant to 37 C.F.R. §1.97.

| Patent No. | Inventor          | Issue Date    |
|------------|-------------------|---------------|
| 4,800,670  | Mattison          | Jan. 31, 1989 |
| 4,972,623  | Delricco          | Nov. 27, 1990 |
| 5,190,366  | World             | Mar. 2, 1993  |
| 6,331,062  | Sinclair          | Dec. 18, 2001 |
| 4,437,256  | Kulak             | Mar. 29, 1984 |
| 6,098,331  | Kim et al.        | Aug. 8, 2000  |
| 5,758,449  | Munsterman et al. | Jun. 2, 1998  |
| 6,203,170  | Patrick et al.    | Mar. 20, 2001 |

| 2,237,534 | W.W. Van Der Clute | Apr. 8, 1941  |
|-----------|--------------------|---------------|
| 4,070,784 | Yokogawa et al.    | Jan. 31, 1978 |
| 4,227,331 | Ursrey et al.      | Oct. 14, 1980 |
| 4,423,473 | Kirkley            | Dec. 27, 1983 |
| 4,451,871 | Kirkley et al.     | May 29, 1984  |
| 4,524,534 | Kaye et al.        | Jun. 25, 1985 |
| 4,763,433 | Kulak              | Aug. 16, 1988 |
| 4,827,655 | Reed               | May 9, 1989   |
| 4,888,905 | Garr               | Dec. 26, 1989 |
| 4,947,304 | Payne et al.       | Aug. 7, 1990  |
| 5,036,615 | Lu                 | Aug. 6, 1991  |
| 5,119,578 | Johnson            | Jun. 9, 1992  |
| 5,149,190 | MacKenzie          | Sep. 22, 1992 |
| 5,330,282 | Rodgers            | Jul. 19, 1994 |
| 5,351,431 | Ryu                | Oct. 4, 1994  |
| 5,392,555 | Tingey             | Feb. 28, 1995 |
| 5,461,815 | Rodgers            | Oct. 31, 1995 |
| 5,697,182 | Rodgers            | Dec. 16, 1997 |
| 5,898,372 | Johnson et al.     | Apr. 27, 1999 |
| 5,974,721 | Johnson et al.     | Nov. 2, 1999  |
| 6,030,264 | Carter             | Feb. 29, 2000 |
| 6,086,220 | Lash et al.        | Jul. 11, 2000 |

| 6,161,323       | Kageyama          | Dec. 19, 2000 |
|-----------------|-------------------|---------------|
| 6,305,814       | Giamas            | Oct. 23, 2001 |
| 6,481,148       | Lindgren          | Nov. 19, 2002 |
| 6,511,214       | Parsons et al.    | Jan. 28, 2003 |
| US 2003/0179573 | Chun              | Sep. 25, 2003 |
| 6,331,062       | Sinclair          | Dec. 18, 2001 |
| 6,098,331       | Kim et al.        | Aug. 8, 2000  |
| 5,758,449       | Munsterman et al. | Jun. 2, 1998  |
| WO 97/31217     |                   | Aug. 28, 1997 |
| DE 3402443      |                   | Jul. 25, 1985 |
| DE 4205848      |                   | Sep. 2, 1993  |
| JP02003047369   |                   | Feb. 18, 2003 |
| JP2002-199828   |                   | Dec. 28, 2000 |
| JP02000189004   |                   | July 11, 2000 |
| JP355037701     |                   | Mar. 15, 1980 |

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §1.97, and this statement shall not be construed as a representation that a search was made, has been made, or that the information cited above is, or is considered to be, material to patentability as defined in §1.56(b), or that no other material information, as defined in 37 C.F.R. §1.56(b), exists. A copy of each listed patent or publication or other item of information in written form, or of at least the portions thereof listed above, is filed with this Information Disclosure Statement. If two or more patents or publications are considered substantially cumulative, a copy of a representative one is included with

this statement and others are merely listed above. Translations of the pertinent portions of foreign language patents or publications listed above are supplied if existing translations are readily available to the applicant or the applicant's attorney. A concise explanation of the relevance, as it is presently understood by the individual designated in 37 CFR §1.56(c) most knowledgeable about the content of the information, of each patent, publication, or other information listed that is not in the English language is provided either in the patent specification or in the enclosed attachment.

Respectfully submitted,

Fleit, Kain, Gibbons, Gutman, Bongini & Bianco, P.L.

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Robert C. Kain, Jr.

Reg. No. 30,648

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| INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) | Docket Number (Optional)<br>6744-7 cip | Application Number 10/682,501 |
|---|--|-------------------------------|
|   | Applicant(s) Peter B. Lindgren         |                               |
|   | Filing Date<br>October 9, 2003         | Group Art Unit<br>3643        |

| Examiner<br>Initial | Document<br>Number | Date       | Name               | Class | Subclass | Filing Date if Appropriate |
|---------------------|--------------------|------------|--------------------|-------|----------|----------------------------|
|                     | 4,800,670          | 01/31/1989 | Mattison           | 43    | 17.6     |                            |
|                     | 4,972,623          | 11/27/1990 | Delricco           | 43    | 17.6     |                            |
|                     | 5,190,366          | 03/02/1993 | World              | 362   | 34       |                            |
|                     | 6,331,062          | 12/18/2001 | Sinclair           | 362   | 200      |                            |
|                     | 4,437,256          | 03/20/1984 | Kulak              | 43    | 17.5     |                            |
|                     | 6,098,331          | 08/08/2000 | Kim et al.         | 43    | 4.5      |                            |
|                     | 5,758,449          | 06/02/1998 | Munsterman et al.  | 43    | 17       | `                          |
|                     | 6,203,170          | 03/20/2001 | Patrick et al.     | 362   | 234      |                            |
|                     | 2,237,534          | 04/08/1941 | W.W. Van Der Clute | 43    | 44       |                            |
|                     | 4,070,784          | 01/31/1978 | Yokogawa et al.    | 43    | 17.5     |                            |

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| - | Document<br>Number | Date      | Country | Class | Subclass | Translation<br>YES NO |
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|   | WO 97/31217        | 8/28/1997 | PCT     |       |          | X                     |
|   | DE 3402443         | 7/25/1985 | Germany |       |          | X                     |
|   | DE 4205848         | 9/02/1993 | Germany |       |          | Х                     |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

|   | INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) | Docket Number (Optional)<br>6744-7 cip | Application Number 10/682,501 |
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| 5 | E &   | Applicant(s) Peter B. Lindgren         |                               |
| 9 | 2 2004 <u>2</u>   | Filing Date<br>October 9, 2003         | Group Art Unit<br>3643        |

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|                     | 4,227,331          | 10/14/1980 | Ursrey et al.  | 43    | 17.6     |                            |
|                     | 4,423,473          | 12/27/1983 | Kirkley  | 362   | 186      | ·                          |
|                     | 4,451,871          | 05/29/1984 | Kirkley et al.   | 362   | 186      |                            |
|                     | 4,524,534          | 06/25/1985 | Kaye et al.  | 42    | 1        |                            |
|                     | 4,763,433          | 08/16/1988 | Kulak  | 43    | 17.6     |                            |
|                     | 4,827,655          | 05/09/1989 | Reed   | 43    | 17.5     |                            |
|                     | 4,888,905          | 12/26/1989 | Garr   | 43    | 17.6     |                            |
|                     | 4,947,304          | 08/07/1990 | Payne et al.   | 362   | 267      |                            |
|                     | 5,036,615          | 08/06/1991 | Lu   | 43    | 17.5     |                            |
|                     | 5,119,578          | 06/09/1992 | Johnson  | 43    | 17       |                            |

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| Document<br>Number | Date       | Country | Class | Subclass | Translation<br>YES NO |
|--------------------|------------|---------|-------|----------|-----------------------|
| JP02003047369      | 2/18/2003  | Japan   |       |          | X                     |
| JP2002199928       | 12/28/2000 | Japan   |       |          | x                     |
| JP02000189004      | 7/11/2000  | Japan   |       |          | X                     |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER DATE CONSIDERED

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|    |   | Applicant(s) Peter B. Lindgren         |                               |
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| Examiner<br>Initial | Document<br>Number | Date       | Name           | Class | Subclass | Filing Date if Appropriate |
|---------------------|--------------------|------------|----------------|-------|----------|----------------------------|
|                     | 5,149,190          | 09/22/1992 | MacKenzie      | 362   | 234      |                            |
|                     | 5,330,282          | 07/19/1994 | Rodgers        | 43    | 17.6     |                            |
|                     | 5,351,431          | 10/04/1994 | Ryu            | 43    | 17       |                            |
|                     | 5,392,555          | 02/28/1995 | Tingey         | 43    | 17.6     |                            |
|                     | 5,461,815          | 10/31/1995 | Rodgers        | 43    | 17.6     | ,                          |
|                     | 5,697,182          | 12/16/1997 | Rodgers        | 43    | 17.1     |                            |
|                     | 5,898,372          | 04/27/1999 | Johnson et al. | 340   | 573.2    |                            |
|                     | 5,974,721          | 11/02/1999 | Johnson et al. | 43    | 17.6     |                            |
|                     | 6,030,264          | 02/29/2000 | Carter         | 441   | 16       |                            |
|                     | 6,086,220          | 07/11/2000 | Lash et al.    | 362   | 244      |                            |

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| Document<br>Number | Date      | Country | Class | Subclass | Translation<br>YES NO |
|--------------------|-----------|---------|-------|----------|-----------------------|
| ЈР355037701        | 3/15/1980 | Japan   |       |          | Х                     |
|                    |           |         |       |          |                       |
|                    |           |         |       |          |                       |

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|                     | 6,161,323          | 12/19/2000 | Kageyama          | 43    | 4.5      |                               |
|                     | 6,305,814          | 10/23/2001 | Giamas            | 362   | 100      |                               |
|                     | 6,481,148          | 11/19/2002 | Lindgren          | 43    | 17.5     |                               |
|                     | 6,511,214          | 01/28.2003 | Parsons et al.    | 362   | 398      |                               |
|                     | US2003/017<br>9573 | 09/25/2003 | Chun              | 362   | 200      |                               |
|                     | 6,331,062          | 12/18/2001 | Sinclair          | 362   | 200      |                               |
|                     | 6,098,331          | 08/08/2000 | Kim et al.        | 43    | 4.5      |                               |
|                     | 5,758,449          | 06/02/1998 | Munsterman et al. | 43    | 17       |                               |
|                     |                    |            |                   |       |          |                               |

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|                    |      |         |       |          |                       |
|                    |      |         |       |          |                       |

# OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

**EXAMINER** 

DATE CONSIDERED